

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. FILING DATE |                         | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------------------|-------------------------|-------------------------|---------------------|------------------|--|
| 09/831,915                  | 05/25/2001              | Thomas Daniel           | 208608US0PCT        | 2083             |  |
| 22850                       | 7590 01/13/2006         |                         | EXAMINER            |                  |  |
| •                           | PIVAK, MCCLELLAN        | METZMAIER, DANIEL S     |                     |                  |  |
| 1940 DUKE<br>ALEXANDI       | STREET<br>RIA, VA 22314 | ART UNIT                | PAPER NUMBER        |                  |  |
|                             | ,                       | 1712                    |                     |                  |  |
|                             |                         | DATE MAILED: 01/13/2006 |                     |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |   | Ap            | plication No.                       | Applicant(s)           | *      |  |  |  |
|--|---|---------------|-------------------------------------|------------------------|--------|--|--|--|
| Office Action Commence   |   | 09            | )/831,915                           | DANIEL ET AL.          |        |  |  |  |
| Office Action Summary  |   |               | aminer                              | Art Unit               |        |  |  |  |
|  |   |               | niel S. Metzmaier                   | 1712                   |        |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |   |               |                                     |                        |        |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |               |                                     |                        |        |  |  |  |
| Status   |   |               |                                     |                        |        |  |  |  |
| 1)⊠  | Responsive to communication(s) filed  | on 25 Octob   | er 2005.                            |                        |        |  |  |  |
| · <u> </u>   | Γhis action is <b>FINAL</b> . 2b) ☐ This action is non-final.   |               |                                     |                        |        |  |  |  |
| 3)   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |               |                                     |                        |        |  |  |  |
|  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.                       |               |                                     |                        |        |  |  |  |
| Dispositi  | on of Claims  |               |                                     |                        |        |  |  |  |
| 4)⊠  | Claim(s) 1-7 and 10-21 is/are pending   | in the applic | ation.                              |                        | •      |  |  |  |
| -  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |               |                                     |                        |        |  |  |  |
|  | Claim(s) is/are allowed.  |               |                                     |                        |        |  |  |  |
| ·  | Claim(s) <u>1-7 and 10-21</u> is/are rejected.  |               |                                     |                        |        |  |  |  |
| -  | Claim(s) is/are objected to.  |               |                                     |                        |        |  |  |  |
| 8)[  | Claim(s) are subject to restriction and/or election requirement.  |               |                                     |                        |        |  |  |  |
| Applicati  | on Papers   |               |                                     |                        |        |  |  |  |
| 9)□  | The specification is objected to by the   | Examiner.     |                                     |                        |        |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |   |               |                                     |                        |        |  |  |  |
| ,  | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).         |               |                                     |                        |        |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |               |                                     |                        |        |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |               |                                     |                        |        |  |  |  |
| Priority ι   | ınder 35 U.S.C. § 119   |               |                                     |                        |        |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |               |                                     |                        |        |  |  |  |
|  | e of References Cited (PTO-892)   |               | 4) Interview Summary                | (PTO-413)              |        |  |  |  |
| 2) Notic   | e of Draftsperson's Patent Drawing Review (PT   |               | Paper No(s)/Mail Da                 | ate                    | 2.452) |  |  |  |
|  | nation Disclosure Statement(s) (PTO-1449 or P<br>r No(s)/Mail Date <u>10/25/05</u> .                            | TO/SB/08)     | 5)  Notice of Informal P 6)  Other: | atent Application (PTC | J-152) |  |  |  |

### **DETAILED ACTION**

Claims 1-7 and 10-21 are pending.

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United . States.

# Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 4. Claims 1-3, 6-7, 10-14, 16 and 18-21 are rejected under 35 U.S.C. 102(b) as being anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over

Art Unit: 1712

Boschetti et al, US 5,075,371. Boschetti et al (examples and claims) discloses the polymerization of an acrylamide with the addition of sodium silicate and optionally forming particulate gels in oil followed by separation, and drying. Boschetti et al (examples and claims) discloses a number of sodium silicate, which inherently read on the alkali metal oxide to silica ratio claimed. Said materials are commercially available within said range. Boschetti et al (examples and claims) characterizes the gels as aqueous gels, which is deemed synonymous with the term hydrogel.

Boschetti et al (column 1) discloses the inorganic organic materials as chromatographic materials and would have been expected to have some absorbing properties to function as an chromatographic media. The concentration ranges of instant claim 2 clearly encompass calculated values disclosed in the Boschetti et al (examples and claims) reference.

To the extent Boschetti et al <u>differs</u> from the claims in the specified alkali metal oxide to silica ratio claimed, said range encompasses commercially available sodium silicate solutions and would have been obvious as conventional sodium silicate materials for their availability. Boschetti et al (column 2, lines 15 et seq) employs acid in the Boschetti et al process prior to the addition of the sodium silicate and defines the pH. It would have been obvious to one of ordinary skilled in the art at the time of applicants' invention to vary the alkali for their advantageous use as chromatographic materials.

5. Claims 4-5 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boschetti et al, US 5,075,371. Boschetti et al discloses the polymerization of an

acrylamide with the addition of sodium silicate and optionally forming particulate gels in oil followed by separation, and drying as set forth in the preceding anticipation rejection.

Boschetti et al <u>differs</u> from claims 4 and 5 in the point of addition of the sodium silicate and the further combination of a neutralizing agent, i.e., alkali metal hydroxide or alkali metal carbonate.

Boschetti et al (examples) discloses the use of sodium hydroxide in the particle embodiment and teaches (column 2, lines 46 et seq) neutralization. Changes in the order of process steps has been held to be *prima facie* obvious. See MPEP 2144.04(C). Furthermore, the use of conventional neutralizing agents, i.e., sodium carbonate, is within the level of one having ordinary skill in the art at the time of applicants' invention for the advantage of buffering the system such as is employed in the Boschetti et al (example 7) reference.

Boschetti et al <u>differs</u> from claim 17 in the use of sodium silicate rather than potassium silicate claimed. It would have been obvious to one of ordinary skilled in the art at the time of applicants' invention to employ potassium silicate as an obvious functional equivalent to the sodium silicate and their structural similarity.

6. Claims 1-4, 6-7, 10-16 and 18-21 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Seiter et al, US 4,707,290. Seiter et al (example 1) discloses the combination of an aqueous acrylates and sodium silicates to form a granular absorbent. The polymer solution is in polymerized form and the addition of the silicate thereto. The characterization of the

material as a hydrogel would have been inherent to the 40 % concentration of the polymer.

To the extent the polymer is not in a gel form, said limitation does not distinguish the product which is further dried and any increased aqueous solvent would have improved dispersibility of the silicate in the dried product. The product resulting from the process has not been shown to distinguish over the wet processing followed by drying to form the absorbent granules. It would have been obvious to vary the water content of the polymer for the advantage of the polymers binder function and facilitate the granule formation on drying.

Claims 19-21 are included herein since the claims do not set forth conditions of adsorption, swelling or solubility. US 4707290 (column 3) clearly contemplates copolymers.

## Response to Arguments

- 7. Applicant's arguments filed Oct. 25, 2005 have been fully considered but they are not persuasive.
- 8. Applicants (page 8 of the above noted response) assert that the US 5075371 is directed to acrylamides and the instant claims are directed to acids and salts. Claim 3 of the US 5075371 reference specifically mentions acrylic acids. Said arguments have not been deemed persuasive nor has applicants shown that said alleged difference would have been unobvious in view of the prior art.
- Applicants arguments regarding swelling and cross-linking have not been
   deemed persuasive since some swelling would have been expected and no claimed

Page 6

Art Unit: 1712

degree of swelling has been set forth or proffered as distinguishing said reference materials. Furthermore, applicants arguments are inconsistent with the arguments proffered to rebut US 4707290 on page 9 of this response, which asserts the instant compositions are cross-linked copolymers. Claim 21 recites copolymers. US 4707290 9column 3) clearly contemplates copolymers.

10. Applicants' reference to the examples and comparative examples are not commensurate with the instant claims.

#### Conclusion

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel S. Metzmaier whose telephone number is (571) 272-1089. The examiner can normally be reached on 9:00 AM to 5:30 PM.

Application/Control Number: 09/831,915

Art Unit: 1712

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Randy P. Gulakowski can be reached on (571) 272-1302. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Daniel S. Metzmaier Primary Examiner Page 7

Art Unit 1712

**DSM**